

## Liechtensteinisches Landesgesetzblatt

Jahrgang 2005

Nr. 116

ausgegeben am 24. Juni 2005

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**Verordnung**  
vom 21. Juni 2005  
**über Massnahmen gegenüber der Demokratischen  
Republik Kongo**

Aufgrund von Art. 2 des Gesetzes vom 10. Dezember 2008 über die Durchsetzung internationaler Sanktionen (ISG), LGBL 2009 Nr. 41, unter Einbezug der aufgrund des Zollvertrages anwendbaren schweizerischen Rechtsvorschriften und des Beschlusses des Rates der Europäischen Union vom 12. Dezember 2016 (GASP) 2016/2231 sowie in Ausführung der Resolutionen 1493 (2003) vom 28. Juli 2003, 1596 (2005) vom 18. April 2005, 1807 (2008) vom 31. März 2008, 1857 (2008) vom 22. Dezember 2008 und 2136 (2014) vom 30. Januar 2014 des Sicherheitsrates der Vereinten Nationen<sup>1</sup> verordnet die Regierung:<sup>2</sup>

**I. Zwangsmassnahmen<sup>3</sup>**

Art. 1

*Verbot der Lieferung von Rüstungsgütern und verwandtem Material*

1) Die Lieferung, der Verkauf, die Durchfuhr und die Vermittlung von Rüstungsgütern jeder Art, einschliesslich Waffen und Munition, Militärfahrzeuge und -ausrüstung, paramilitärische Ausrüstung sowie Zubehör und Ersatzteile dafür, nach der Demokratischen Republik Kongo sind verboten.

2) Die Gewährung, der Verkauf und die Vermittlung von Beratung, Ausbildung oder Unterstützung, einschliesslich Finanzierung und finan-

zieller Unterstützung, im Zusammenhang mit der Lieferung, der Herstellung, dem Unterhalt oder der Verwendung von Rüstungsgütern nach Abs. 1 sowie mit militärischen Aktivitäten in der Demokratischen Republik Kongo sind verboten.

3) Von den Verboten der Abs. 1 und 2 sind ausgenommen:

- a) die Lieferung von Gütern und die Erbringung von Dienstleistungen für die Mission der Organisation der Vereinten Nationen in der Demokratischen Republik Kongo (MONUC);
- b) die Lieferung nichtletalen militärischen Geräts, das ausschliesslich für humanitäre und Schutzzwecke bestimmt ist, und damit zusammenhängende technische Unterstützung und Ausbildung;
- c) die Lieferung von Gütern und die Erbringung von Dienstleistungen für staatliche Organe der Demokratischen Republik Kongo;
- d) die vorübergehende Ausfuhr von Schutzkleidung, einschliesslich kugelsicherer Westen und Helme, zur persönlichen Verwendung durch Personal der Vereinten Nationen, Medienvertreter und humanitäres Personal.<sup>4</sup>

3a) Die Lieferung von Gütern und die Erbringung von Dienstleistungen nach Abs. 3 Bst. b und c müssen der Stabsstelle FIU mindestens 30 Tage im Voraus gemeldet werden.<sup>5</sup>

4) Vorbehalten bleiben die Bestimmungen der in Liechtenstein anwendbaren schweizerischen Kriegsmaterial-, Güterkontroll- und Embargogesetzgebung.

## Art. 2

### *Sperrung von Geldern und wirtschaftlichen Ressourcen*

1) Gesperrt sind Gelder und wirtschaftliche Ressourcen, die sich im Eigentum oder unter der direkten oder indirekten Kontrolle befinden von:<sup>6</sup>

- a) natürlichen Personen, Unternehmen oder Organisationen nach den Anhängen 1 und 2;
- b) natürlichen Personen, Unternehmen oder Organisationen, die im Namen oder auf Anweisung von natürlichen Personen, Unternehmen oder Organisationen nach Bst. a handeln;
- c) Unternehmen oder Organisationen, die sich im Eigentum oder unter der Kontrolle von natürlichen Personen, Unternehmen oder Organisationen nach Bst. a oder b befinden.

2) Es ist verboten, den von der Sperrung betroffenen natürlichen Personen, Unternehmen und Organisationen Gelder zu überweisen oder Gelder und wirtschaftliche Ressourcen sonstwie direkt oder indirekt zur Verfügung zu stellen.

3) Die Regierung kann Zahlungen aus gesperrten Konten, Übertragungen gesperrter Vermögenswerte sowie die Freigabe gesperrter wirtschaftlicher Ressourcen ausnahmsweise bewilligen zur:<sup>7</sup>

- a) Vermeidung von Härtefällen;
- b) Erfüllung bestehender Verträge;
- c) Erfüllung von Forderungen, die Gegenstand einer bestehenden Entscheidung eines Gerichts, einer Verwaltungsstelle oder eines Schiedsgerichts sind;
- d) Bezahlung angemessener Honorare und Erstattung von Kosten im Zusammenhang mit der Erbringung juristischer Dienstleistungen;
- e) Bezahlung von Gebühren oder Dienstleistungskosten für die routinemässige Verwahrung oder Verwaltung gesperrter Gelder oder wirtschaftlicher Ressourcen; oder
- f) Wahrung liechtensteinischer Interessen.

4) Die Regierung bewilligt Ausnahmen nach Abs. 3 gemäss den massgeblichen Resolutionen des Sicherheitsrates der Vereinten Nationen, falls diese anwendbar sind.<sup>8</sup>

5) Gesuche um Ausnahmbewilligungen sind bei der Stabsstelle FIU einzureichen.<sup>9</sup>

### Art. 3

#### *Begriffsbestimmungen*

In dieser Verordnung bedeuten:

- a) Gelder: finanzielle Vermögenswerte, einschliesslich Bargeld, Schecks, Geldforderungen, Wechsel, Geldanweisungen oder andere Zahlungsmittel, Guthaben, Schulden und Schuldenverpflichtungen, Wertpapiere und Schuldtitel, Wertpapierzertifikate, Obligationen, Schuldscheine, Optionsscheine, Pfandbriefe, Derivate; Zinserträge, Dividenden oder andere Einkünfte oder Wertzuwächse aus Vermögenswerten; Kredite, Rechte auf Verrechnung, Bürgschaften, Vertragserfüllungsgarantien oder andere finanzielle Zusagen; Akkreditive, Konnossemente, Sicherungsübereignungen, Dokumente zur Verbriefung von Anteilen an

Fondsvermögen oder anderen Finanzressourcen und jedes andere Finanzierungsinstrument für Exporte;

- b) Sperrung von Geldern: die Verhinderung jeder Handlung, welche die Verwaltung oder die Nutzung der Gelder ermöglicht, mit Ausnahme von normalen Verwaltungshandlungen von Banken und Wertpapierfirmen;<sup>10</sup>
- c) wirtschaftliche Ressourcen: Vermögenswerte jeder Art, unabhängig davon, ob sie materiell oder immateriell, beweglich oder unbeweglich sind, insbesondere Immobilien und Luxusgüter, mit Ausnahme von Geldern nach Bst. a;
- d) Sperrung wirtschaftlicher Ressourcen: die Verhinderung ihrer Verwendung zum Erwerb von Geldern, Waren oder Dienstleistungen, einschliesslich des Verkaufs, des Vermietens oder des Verpfändens solcher Ressourcen.

#### Art. 4<sup>11</sup>

##### *Ein- und Durchreiseverbot*

1) Die Einreise in Liechtenstein oder die Durchreise durch Liechtenstein ist den in den Anhängen 1 und 2 aufgeführten natürlichen Personen verboten.

2) Die Regierung kann in Übereinstimmung mit den Beschlüssen des zuständigen Ausschusses des Sicherheitsrates der Vereinten Nationen Ausnahmen für natürliche Personen nach Anhang 1 gewähren.

3) Sie kann für natürliche Personen nach Anhang 2 Ausnahmen gewähren:

- a) aus erwiesenen humanitären Gründen;
- b) zwecks Teilnahme an Tagungen internationaler Gremien oder an einem politischen Dialog betreffend die Demokratische Republik Kongo; oder
- c) zur Wahrung liechtensteinischer Interessen.

4) Gesuche um Ausnahmegewilligungen sind beim Ausländer- und Passamt einzureichen.

## II. Vollzug und Strafbestimmungen

### Art. 5

#### *Kontrolle und Vollzug*

1) Die Stabsstelle FIU überwacht den Vollzug der Zwangsmassnahmen nach den Art. 1 und 2. Sie prüft insbesondere die Gesuche um Ausnahmebewilligungen und leitet sie - erforderlichenfalls nach Konsultation weiterer betroffener Stellen - mit ihrer Empfehlung an die Regierung weiter. Entsprechend der Resolution 1807 (2008) meldet die Regierung dem zuständigen Ausschuss des Sicherheitsrates der Vereinten Nationen vorgängig die Lieferung von Gütern und die Erbringung von Dienstleistungen nach Art. 1 Abs. 3 Bst. b und c.<sup>12</sup>

2) Das Ausländer- und Passamt überwacht den Vollzug des Ein- und Durchreiseverbots nach Art. 4. Es prüft insbesondere die Gesuche um Ausnahmebewilligungen und leitet sie - erforderlichenfalls nach Konsultation weiterer betroffener Stellen - mit seiner Empfehlung an die Regierung weiter.<sup>13</sup>

3) Die zuständigen liechtensteinischen Behörden ergreifen die für die Sperrung wirtschaftlicher Ressourcen notwendigen Massnahmen, insbesondere die Anmerkung einer Verfügungssperre im Grundbuch oder die Pfändung oder Versiegelung von Luxusgütern.<sup>14</sup>

4) Die Zuständigkeit der schweizerischen Behörden bleibt vorbehalten.

### Art. 6

#### *Meldepflichten*

1) Personen und Institutionen, die Gelder halten oder verwalten oder von wirtschaftlichen Ressourcen wissen, von denen anzunehmen ist, dass sie unter die Sperrung nach Art. 2 Abs. 1 fallen, müssen dies der Stabsstelle FIU unverzüglich melden.<sup>15</sup>

2) Die Meldungen müssen die Namen der Begünstigten sowie Gegenstand und Wert der gesperrten Gelder und wirtschaftlichen Ressourcen enthalten.

### Art. 7<sup>16</sup>

#### Aufgehoben

Art. 8<sup>17</sup>

Aufgehoben

Art. 9<sup>18</sup>

### *Strafbestimmungen*

1) Wer gegen Art. 1, 2 oder 4 verstösst, wird nach Art. 10 ISG bestraft, soweit nicht Strafbestimmungen der in Liechtenstein anwendbaren schweizerischen Kriegsmaterial-, Güterkontroll- und Embargogesetzgebung zur Anwendung gelangen.

2) Wer gegen Art. 6 verstösst, wird nach Art. 11 ISG bestraft.

## III. Schlussbestimmungen

Art. 10

### *Aufhebung bisherigen Rechts*

1) Die Verordnung vom 24. August 2004 über Massnahmen gegenüber der Demokratischen Republik Kongo, LGBI. 2004 Nr. 196, wird aufgehoben.

2) Die Strafbarkeit von Widerhandlungen, die während der Geltungsdauer der in Abs. 1 genannten Verordnung begangen wurden, bleibt vorbehalten.

Art. 11

### *Inkrafttreten*

Diese Verordnung tritt am Tage der Kundmachung in Kraft.

Fürstliche Regierung:  
gez. *Otmar Hasler*  
Fürstlicher Regierungschef

## Anhang 1<sup>19</sup>

(Art. 2 Abs. 1 und Art. 4 Abs. 1)

### Natürliche Personen, Unternehmen und Organisationen, gegen die sich die Massnahmen nach Art. 2 und 4 richten (UN-Liste)

#### Erläuterungen

Die Namensliste umfasst folgende 2 Abschnitte:

A. Liste der natürlichen Personen

B. Liste der Unternehmen und Organisationen

Jeder aufgeführten natürlichen Person und jedem Unternehmen bzw. jeder Organisation ist eine fixe Referenznummer zugewiesen. Eine solche setzt sich aus drei Buchstaben und mehreren Ziffern zusammen. Die ersten beiden Buchstaben "CD" stehen für die Demokratische Republik Kongo. Der dritte Buchstabe "i" oder "e" gibt an, ob es sich um eine natürliche Person (i) oder ein Unternehmen/eine Organisation (e) handelt. Die Ziffern der Referenznummer zeigen an, um den wievielten Listeneintrag des zuständigen Ausschusses des Sicherheitsrates der Vereinten Nationen es sich handelt. Die Liste ist alphabetisch geordnet.

Zusätzliche Informationen aus der Zusammenfassung der Gründe für die Aufnahme in die Liste können, soweit vorhanden, unter folgender Internetadresse abgerufen werden:

<http://www.un.org/sc/committees/1533/narrative.shtml>

Die Namen der natürlichen Personen und Unternehmen/Organisationen, die von der Liste gestrichen wurden, können unter folgender Internetadresse abgerufen werden:

<http://www.un.org/sc/committees/1533/pressreleases.shtml>

#### Abkürzungen

#### Natürliche Personen

##### Name

*Name 1, Name 2, Name 3, Name 4:* Für jede natürliche Person stehen vier verschiedene Namensfelder zur Verfügung, um so den Benennungs-

regeln in allen Kulturen Rechnung zu tragen. Eine Person kann aus mehreren Gründen weniger als vier Namen haben: a) fehlende Information zum vollständigen Namen der Person b) die im Herkunftsland der betroffenen Person geltenden Benennungsregeln sehen nicht sämtliche der sonst üblichen vier Namensteile vor.

### **Title**

*Titel:* Ehrentitel, berufliche, religiöse oder andere Titel.

### **Designation**

*Bezeichnung:* Offizielle Funktion.

### **DOB ("Date of birth")**

*Geboren am:* Geburtsdatum, inkl. allfälliger anderer Daten.

### **POB ("Place of birth")**

*Ort:* Geburtsort, inkl. allfällige andere Orte.

### **Good quality a.k.a. (a.k.a = "also known as")**

*Zweifelloser Deckname:* Alias (auch bekannt als), Deckname, welcher zur zweifellosen Identifizierung der betroffenen Person ausreicht.

### **Low quality a.k.a. (a.k.a = "also known as")**

*Zweifelhafter Deckname:* Alias (auch bekannt als), Deckname, welcher zur zweifellosen Identifizierung der betroffenen Person wahrscheinlich nicht ausreicht.

### **Nationality**

*Nationalität:* Bezeichnet die frühere oder gegenwärtige Staatsangehörigkeit/Nationalität.

### **Passport no.**

*Pass No:* Passnummer(n).

### **National identification no.**

*Nationale Identifikationsnummer:* Nationale Identifikationsnummer (zum Beispiel Nummer für Identitätskarte, Nummer für Sozialversicherungsausweis, usw.)

### **Address**

*Adresse:* Bezeichnet den/die permanenten, vorübergehenden oder früheren Wohnort(e) des Betroffenen.

**Listed on**

*Eingetragen am:* Zeitpunkt, an welchem der Name in die UNO-Liste übernommen wurde (einschliesslich Änderungen).

**Other information**

*Andere Informationen:* Angaben, welche zusätzlich zu den unter den anderen Rubriken erwähnten aufgeführt werden.

**na** ("not available")

*Nicht verfügbar:* Angaben, welche nicht verfügbar sind.

**Unternehmen und Organisationen****Name**

*Name:* Name des Unternehmens oder der Organisation.

**A.k.a.** ("Also known as")

*Deckname:* Alias (auch bekannt als).

**F.k.a.** ("Formerly known as")

*Ehemaliger Name:* Ehemals bekannt unter.

**Address**

*Adresse:* Adresse, wo das Unternehmen oder die Organisation ansässig ist oder über Aussenstellen verfügt.

**Listed on**

*Eingetragen am:* Zeitpunkt, an welchem der Name in die UNO-Liste übernommen wurde (einschliesslich Änderungen).

**Other information**

*Andere Informationen:* Angaben, welche zusätzlich zu den unter den anderen Rubriken erwähnten aufgeführt werden.

**na** ("not available")

*Nicht verfügbar:* Angaben, welche nicht verfügbar sind.

**A.      Natürliche Personen****1.      CDi.001**

**Name:** 1: ERIC 2: BADEGE 3: na 4: na

**Title:** na **Designation:** na **DOB:** 1971 **POB:** na **Good quality a.k.a.:** na **Low quality a.k.a.:** na **Nationality:** Congolese **Passport no.:** na **National identification no.:** na **Address:**

Rwanda (as of early 2016) **Listed on:** 31 Dec. 2012 (amended on 13 Oct. 2016). **Other information:** He fled to Rwanda in March 2013 and is still living there as of early 2016.

2. CDi.002

**Name:** 1: FRANK KAKOLELE 2: BWAMBALE 3: 4:

**Title:** na **Designation:** FARDC General **DOB:** na **POB:** na **Good quality a.k.a.:** a) FRANK KAKORERE b) FRANK KAKORERE BWAMBALE c) AIGLE BLANC **Low quality a.k.a.:** na **Nationality:** Congolese **Passport no.:** na **National identification no.:** na **Address:** Kinshasa, Democratic Republic of the Congo (as of June 2016) **Listed on:** 1 Nov. 2005 (amended on 13 Oct. 2016) **Other information:** Left the CNDP in January 2008. As of June 2011, resides in Kinshasa. Since 2010, Kakolele has been involved in activities apparently on behalf of the DRC government's Programme de Stabilisation et Reconstruction des Zones Sortant des Conflits Armés (STAREC), including participation in a STAREC mission to Goma and Beni in March 2011. DRC authorities arrested him in December 2013 in Beni, North Kivu Province, for allegedly blocking the DDR process. He left the DRC and lived in Kenya for some time, before being called back by the DRC Government to assist them with the situation in the Territory of Beni. He was arrested in October 2015 in the area of Mambasa for allegedly supporting a MaiMai group, but no charges were brought and as of June 2016, he lived in Kinshasa.

3. CDi.003

**Name:** 1: GASTON 2: IYAMUREMYE 3: 4:

**Title:** na **Designation:** a) FDLR Interim President b) FDLR-FOCA 1st Vice-President c) FDLR-FOCA Major General **DOB:** 1948 **POB:** a) Musanze District, Northern Province, Rwanda b) Ruhengeri, Rwanda **Good quality a.k.a.:** a) Byiringiro Victor Rumuli b) Victor Rumuri c) Michel Byiringiro **Low quality a.k.a.:** Rumuli **Nationality:** Rwandan **Passport no.:** na **National identification no.:** na **Address:** North Kivu Province, Democratic Republic of the Congo (as of June 2016) **Listed on:** 1 Dec. 2010 (amended on 13 Oct. 2016) **Other information:** na

4. CDi.004

**Name:** 1: INNOCENT 2: KAINA 3: 4:

**Title:** na **Designation:** Former M23 Deputy Commander **DOB:** Nov. 1973 **POB:** Bunagana, Rutshuru territory, Democratic Republic of the Congo **Good quality a.k.a.:** Colonel Innocent KAINA **Low quality a.k.a.:** India Queen **Nationality:** na **Passport no.:** na **National identification no.:** na **Address:** Uganda (as of early 2016) **Listed on:** 30 Nov. 2012 (amended on 13 Oct. 2016) **Other information:** Became M23 deputy commander after the flight of Bosco Taganda's faction to Rwanda in March 2013. Fled to Uganda in November 2013. In Uganda as of early 2016.

5. CDi.005

**Name:** 1: JÉRÔME 2: KAKWAVU BUKANDE 3: 4:

**Title:** na **Designation:** na **DOB:** na **POB:** Goma, DRC **Good quality a.k.a.:** Jérôme Kakwavu **Low quality a.k.a.:** Commandant Jérôme **Nationality:** Congolese **Passport no.:** na **National identification no.:** na **Address:** na **Listed on:** 1 Nov. 2005 **Other information:** Given the rank of General in the FARDC in December 2004. As of June 2011, detained in Makala Prison in Kinshasa. As of 25 March 2011, the High Military Court in Kinshasa opened a trial against Kakwavu for war crimes. In November 2014, convicted by a DRC military court to ten years in prison for rape, murder, and torture.

6. CDi.006  
Name: 1: GERMAIN 2: KATANGA 3: 4:  
Title: na Designation: na DOB: 28 Apr. 1978 POB: Mambasa, Ituri Province, Democratic Republic of the Congo Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Congolese Passport no.: na National identification no.: na Address: Democratic Republic of the Congo (in prison) Listed on: 1 Nov. 2005 (amended on 13 Oct. 2016) Other information: Appointed General in the FARDC in December 2004. Handed over by the Government of the DRC to the International Criminal Court on 18 October 2007. Initially convicted on 23 May 2014 by the ICC to 12 years in prison for war crimes and crimes against humanity, the ICC Appeals Chamber reduced his sentence and determined that Katanga's sentence should be completed on 18 January 2016. Although he was detained in the Netherlands for the duration of his trial, Katanga was transferred to a DRC prison in December 2015 and charged for other crimes previously committed in Ituri.
7. CDi.007  
Name: 1: THOMAS 2: LUBANGA 3: 4:  
Title: na Designation: na DOB: na POB: Ituri, Democratic Republic of the Congo Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Congolese Passport no.: na National identification no.: na Address: Democratic Republic of the Congo (in prison) Listed on: 1 Nov. 2005 (amended on 13 Oct. 2016) Other information: Arrested in Kinshasa in March 2005 for UPC/L involvement in human rights abuses violations. Transferred to the ICC on 17 March 2006. Convicted by the ICC in March 2012 and sentenced to 14 years in prison. On 1 December 2014, ICC appeals judges upheld Lubanga's conviction and sentence. Transferred to a prison facility in the DRC on 19 December 2015 to serve out his sentence of imprisonment.
8. CDi.008  
Name: 1: SULTANI 2: MAKENGA 3: 4:  
Title: na Designation: na DOB: 25 Dec. 1973 POB: Rutshuru, DRC Good quality a.k.a.:a) MAKENGA, Colonel SULTANI b) MAKENGA, EMMANUEL SULTANI Low quality a.k.a.: na Nationality: Congolese Passport no.: na National identification no.: na Address: na Listed on: 12 Nov. 2012 Other information: A military leader of the Mouvement du 23 Mars (M23) group operating in the Democratic Republic of the Congo. In Uganda as of late 2014.
9. CDi.009  
Name: 1: KHAWA PANGA 2: MANDRO 3: 4:  
Title: na Designation: na DOB: 20 Aug. 1973 POB: Bunia, Democratic Republic of the Congo Good quality a.k.a.: a) Kawa Panga b) Kawa Panga Mandro c) Kawa Mandro d) Yves Andoul Karim e) Mandro Panga Kahwa f) Yves Khawa Panga Mandro Low quality a.k.a.: a) "Chief Kahwa" b) "Kawa" Nationality: Congolese Passport no.: na National identification no.: na Address: Uganda (as of May 2016) Listed on: 1 Nov. 2005 (amended on 13 Oct. 2016) Other information: Placed in prison in Bunia in April 2005 for sabotage of the Ituri peace process. Arrested by Congolese authorities in October 2005, acquitted by the Court of Appeal in Kisangani, subsequently transferred to the judicial authorities in Kinshasa on new charges of crimes against humanity, war crimes, murder, aggravated assault and battery. In August 2014, a DRC military court in Kisangani convicted him of war crimes and crimes against humanity, sentenced him to nine years in prison, and ordered him to pay approximately \$ 85,000 to his victims. He served his sentence and resides in Uganda as of May 2016.

10. CDi.010  
**Name:** 1: CALLIXTE 2: MBARUSHIMANA 3: 4:  
**Title:** na **Designation:** FDLR Executive Secretary **DOB:** 24 Jul. 1963 **POB:** Ndusu / Ruhengeri, Northern Province, Rwanda **Good quality a.k.a.:** na **Low quality a.k.a.:** na **Nationality:** Rwandan **Passport no.:** na **National identification no.:** na **Address:** na **Listed on:** 3 Mar. 2009 (amended on 13 Oct. 2016) **Other information:** Arrested in Paris on 3 October 2010 under ICC warrant for war crimes and crimes against humanity committed by FDLR troops in the Kivus in 2009. Transferred to The Hague on 25 January 2011 and , released by the ICC in late 2011. Elected FDLR Executive Secretary on 29 Nov. 2014 for a five-year term.
11. CDi.011  
**Name:** 1: IRUTA DOUGLAS 2: MPAMO 3: 4:  
**Title:** na **Designation:** na **DOB:**a) 28 Dec. 1965 b) 29 Dec. 1965 **POB:**a) Bashali, Masisi, DRC b) Goma, DRC c) Uvira, DRC **Good quality a.k.a.:** Douglas Iruta Mpamo **Low quality a.k.a.:** Mpamo **Nationality:** Congolese **Passport no.:** na **National identification no.:** na **Address:** Gisenyi, Rwanda ( As of June 2011..) **Listed on:** 1 Nov. 2005 **Other information:** No known occupation since two of the planes managed by Great Lakes Business Company (GLBC) crashed.
12. CDi.012  
**Name:** 1: SYLVESTRE 2: MUDACUMURA 3: 4:  
**Title:** na **Designation:** a) FDLR-FOCA Commander b) FDLR-FOCA Lieutenant General na **DOB:** 1954 **POB:** Cellule Ferege, Gatumba sector, Kibilira commune, Gisenyi prefecture, Rwanda **Good quality a.k.a.:** a) Mupenzi Bernard b) General Major Mupenzi c) General Mudacumura **Low quality a.k.a.:** a) Pharaoh b) Radja **Nationality:** Rwandan **Passport no.:** na **National identification no.:** na **Address:** North Kivu Province, Democratic Republic of the Congo (as of June 2016) **Listed on:** 1 Nov. 2005 (amended on 13 Oct. 2016) **Other information:** The International Criminal Court issued an arrest warrant for Mudacumura on 12 July 2012 for nine counts of war crimes, including attacking civilians, murder, mutilation, cruel treatment, rape, torture, destruction of property, pillaging and outrages against personal dignity, allegedly committed between 2009 and 2010 in the DRC.
13. CDi.013  
**Name:** 1: LEODOMIR 2: MUGARAGU 3: 4:  
**Title:** na **Designation:** na **DOB:**a) 1954 b) 1953 **POB:**a) Kigali, Rwanda b) Rushashi, Northern Province, Rwanda **Good quality a.k.a.:**a) Manzi Leon b) Leo Manzi **Low quality a.k.a.:** na **Nationality:** Rwandan **Passport no.:** na **National identification no.:** na **Address:** FDLR HQ at Kikoma forest, Bogoyi, Walikale, North Kivu, DRC (As of June 2011.) **Listed on:** 1 Dec. 2010 **Other information:** FDLR-FOCA Chief of Staff, in charge of administration.
14. CDi.014  
**Name:** 1: LEOPOLD 2: MUJYAMBERE 3: na 4: na  
**Title:** na **Designation:** a) FDLR-FOCA Chief of Staff b) FDLR-FOCA Interim Deputy Commander **DOB:** a) 17 Mar. 1962 b) Approximately 1966 **POB:** Kigali, Rwanda **Good quality a.k.a.:** na **Low quality a.k.a.:** a) Musenyeri b) Achille c) Frere Petrus Ibrahim **Nationality:** Rwandan **Passport no.:** na **National identification no.:** na **Address:** Kinshasa, Democratic Republic of the Congo (as of June 2016) **Listed on:** 3 Mar. 2009 (amended on 13 Oct. 2016) **Other information:** Became acting FDLR-

FOCA Deputy Commander in 2014. Captured in Goma, DRC by Congolese security services in early May 2016 and transferred to Kinshasa.

15. CDi.015

Name: 1: JAMIL 2: MUKULU 3: 4:

Title: na Designation: a) Head of the Allied Democratic Forces (ADF) b) Commander, Allied Democratic Forces DOB: a) 1965 b) 1 Jan. 1964 POB: Ntoke Village, Ntenjeru Sub County, Kayunga District, Uganda Good quality a.k.a.: a) Steven Ali-rabaki b) David Kyagulanyi c) Musezi Talenganimiro d) Mzee Tutu e) Abdullah Junjuaka f) Alilabaki Kyagulanyi g) Hussein Muhammad h) Nicolas Luumu i) Julius Elius Mashauri j) David Amos Mazengo Low quality a.k.a.: a) Professor Musharaf b) Talenganimiro Nationality: Ugandan Passport no.: na National identification no.: na Address: reportedly in prison in Uganda (as of September 2016) Listed on: 12 Oct. 2011 (amended on 13 Oct. 2016) Other information: Arrested in April 2015 in Tanzania and extradited to Uganda in July 2015. As of September 2016, Mukulu is reportedly being held in a police detention cell awaiting his trial for war crimes and grave breaches of the Geneva Convention under Ugandan Law.

16. CDi.016

Name: 1: IGNACE 2: MURWANASHYAKA 3: na 4: na

Title: Dr. Designation: FDLR President DOB: 14 May 1963 POB: a) Butera, Rwanda b) Ngoma, Butare, Rwanda Good quality a.k.a.: na Low quality a.k.a.: Dr. Ignace Nationality: Rwandan Passport no.: na National identification no.: na Address: Germany (in prison) na Listed on: 1 Nov. 2005 (amended on 13 Oct. 2016) Other information: Arrested by German authorities on 17 November 2009 and found guilty by a German court on 28 September 2015 of leadership of a foreign terrorist group and aiding in war crimes. Received a 13-year sentence and is in prison in Germany as of June 2016. Re-elected FDLR President on 29 November 2014 for a five-year term.

17. CDi.017

Name: 1: STRATON 2: MUSONI 3: 4:

Title: na Designation: Former FDLR Vice President DOB: a) 6 Apr. 1961 b) 4 Jun. 1961 POB: Mugambazi, Kigali, Rwanda Good quality a.k.a.: IO Musoni Low quality a.k.a.: na Nationality: Rwandan Passport no.: na National identification no.: na Address: na Listed on: 29 Mar. 2007 (amended on 13 Oct. 2016) Other information: Arrested by German authorities on 17 November 2009, found guilty in a German court on 28 September 2015 of leadership of a foreign terrorist group, and received an 8-year sentence. Musoni was released from prison immediately after the trial, having served over 5 years of his sentence.

18. CDi.018

Name: 1: JULES 2: MUTEBUTSI 3: 4:

Title: na Designation: na DOB: 1964 POB: Minembwe, South Kivu, Democratic Republic of the Congo Good quality a.k.a.: a) Jules Mutebusi b) Jules Mutebuzi c) Colonel Mutebutsi Low quality a.k.a.: na Nationality: Congolese Passport no.: na National identification no.: na Address: na Listed on: 1 Nov. 2005 (amended on 13 Oct. 2016) Other information: Former FARDC Deputy Military Regional Commander of 10th Military Region in April 2004, dismissed for indiscipline. In December 2007, he was arrested by Rwandan authorities when he tried to cross the border into the DRC. Reported to have died in Kigali on 9 May 2014.

19. CDi.019

**Name:** 1: BAUDOIN 2: NGARUYE WA MYAMURO 3: 4:  
**Title:** Military leader of the Mouvement du 23 Mars (M23) **Designation:** Brigadier General **DOB:**a) 1 Apr. 1978 b) 1978 **POB:**a) Bibwe, DRC b) Lusamambo, Lubero territory, DRC **Good quality a.k.a.:** Colonel Baudoin NGARUYE **Low quality a.k.a.:** na **Nationality:** Congolese **Passport no.:** na **National identification no.:** FARDC ID 1-78-09-44621-80 **Address:** Rubavu / Mudende, Rwanda **Listed on:** 30 Nov. 2012 **Other information:** Entered the Republic of Rwanda on 16 March 2013. As of late 2014, living in Ngoma camp, Rwanda.

20. CDi.020

**Name:** 1: MATHIEU, CHUI 2: NGUDJOLO 3: 4:  
**Title:** na **Designation:** na **DOB:** 8 Oct. 1970 **POB:** Bunia, Ituri Province, Democratic Republic of the Congo **Good quality a.k.a.:** Cui Ngudjolo **Low quality a.k.a.:** na **Nationality:** Congolese **Passport no.:** na **National identification no.:** na **Address:** Democratic Republic of the Congo **Listed on:** 1 Nov. 2005 (amended on 13 Oct. 2016) **Other information:** Arrested by MONUC in Bunia in October 2003. Surrendered by the Government of the DRC to the International Criminal Court on 7 February 2008. Acquitted of all charges by the ICC in December 2012, and the verdict was upheld by the Appeals Chamber on 27 February 2015. Ngudjolo filed a claim for asylum in the Netherlands, but was denied. He was deported to the DRC on 11 May 2015.

21. CDi.021

**Name:** 1: FLORIBERT NGABU 2: NJABU 3: 4:  
**Title:** na **Designation:** na **DOB:** 23 May 1971 **POB:** na **Good quality a.k.a.:** a) Floribert Njabu Ngabu b) Floribert Ndjabu c) Floribert Ngabu Ndjabu **Low quality a.k.a.:** na **Nationality:** Congolese **Passport no.:** Democratic Republic of the Congo passport no. OB 0243318 **National identification no.:** na **Address:** na **Listed on:** 1 Nov. 2005 (amended on 13 Oct. 2016) **Other information:** Under house arrest in Kinshasa since March 2005 for FNI involvement in human rights abuses. Transferred to The Hague on 27 March 2011 to testify in the ICC Germain Katanga and Mathieu Ngudjolo trials. Applied for asylum in the Netherlands in May 2011. In October 2012, a Dutch court denied his asylum claim. In July 2014, he was deported from the Netherlands to DRC, where he was placed under arrest.

22. CDi.022

**Name:** 1: LAURENT 2: NKUNDA 3: 4:  
**Title:** na **Designation:** na **DOB:**a) 6 Feb. 1967 b) 2 Feb. 1967 **POB:** Rutshuru, North Kivu, DRC **Good quality a.k.a.:**a) Nkunda Mihigo Laurent b) Laurent Nkunda Bwatare c) Laurent Nkundabatware d) Laurent Nkunda Mahoro Batware e) Laurent Nkunda Batware **Low quality a.k.a.:**a) Chairman b) General Nkunda c) Papa Six **Nationality:** Congolese **Passport no.:** na **National identification no.:** na **Address:** na **Listed on:** 1 Nov. 2005 **Other information:** Former RCD-G General. Founder, National Congress for the People's Defense, 2006; Senior Officer, Rally for Congolese Democracy-Goma (RCD-G), 1998-2006; Officer Rwandan Patriotic Front (RPF), 1992-1998. Laurent Nkunda was arrested by Rwandan authorities in Rwanda in January 2009 and replaced as the commander of the CNDP. Since then, he has been under house arrest in Kigali, Rwanda. DRC Government's request to extradite Nkunda for crimes committed in eastern DRC has been refused by Rwanda. In 2010, Nkunda's appeal for illegal detention was rejected by Rwandan court in Gisenyi, ruling that the matter should be examined by a military court. Nkunda's lawyers appealed with the Rwandan Military Court.

23. CDi.023  
Name: 1: FELICIEN 2: NSANZUBUKIRE 3: 4:  
Title: na Designation: a) FDLR-FOCA Subsector Commander b) FDLR-FOCA Colonel DOB: 1967 POB: a) Murama, Kigali, Rwanda b) Rubungo, Kigali, Rwanda c) Kinyinya, Kigali, Rwanda Good quality a.k.a.: Fred Irakeza Low quality a.k.a.: na Nationality: Rwandan Passport no.: na National identification no.: na Address: South Kivu Province, Democratic Republic of the Congo (As of June 2016) Listed on: 1 Dec. 2010 (amended on 13 Oct. 2016) Other information: na
24. CDi.024  
Name: 1: PACIFIQUE 2: NTAWUNGUKA 3: 4:  
Title: na Designation: a) FDLR-FOCA "SONOKI" Sector Commander b) FDLR-FOCA Brigadier General DOB: a) 1 Jan. 1964 b) Approximately 1964 POB: Gaseke, Gisenyi Province, Rwanda Good quality a.k.a.: Pacifique Ntawungula Low quality a.k.a.: a) Colonel Omega b) Nzeri c) Israel Nationality: Rwandan Passport no.: na National identification no.: na Address: Rutshuru Territory, North Kivu, Democratic Republic of the Congo (As of June 2016.) Listed on: 3 Mar. 2009 (amended on 13 Oct. 2016) Other information: Received military training in Egypt.
25. CDi.025  
Name: 1: JAMES 2: NYAKUNI 3: 4:  
Title: na Designation: na DOB: na POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Ugandan Passport no.: na National identification no.: na Address: na Listed on: 1 Nov. 2005 Other information:
26. CDi.026  
Name: 1: STANISLAS 2: NZEYIMANA 3: 4:  
Title: na Designation: Former FDLR-FOCA Deputy Commander DOB: a) 1 Jan. 1966 b) 28 Aug. 1966 c) Approximately 1967 POB: Mugusa, Butare, Rwanda Good quality a.k.a.: a) Deogratias Bigaruka Izabayo b) Izabayo Deo c) Jules Mateso Mlamba Low quality a.k.a.: a) Bigaruka b) Bigurura Nationality: Rwandan Passport no.: na National identification no.: na Address: na Listed on: 3 Mar. 2009 (amended on 13 Oct. 2016) Other information: Disappeared while in Tanzania in early 2013. Whereabouts unknown as of June 2016.
27. CDi.027  
Name: 1: DIEUDONNÉ 2: OZIA MAZIO 3: 4:  
Title: na Designation: na DOB: 6 Jun. 1949 POB: Ariwara, DRC Good quality a.k.a.: Ozia Mazio Low quality a.k.a.: a) Omari b) Mr Omari Nationality: Congolese Passport no.: na National identification no.: na Address: na Listed on: 1 Nov. 2005 Other information: While president of the Fédération des entreprises congolaises (FEC) in Aru territory, Dieudonné Ozia Mazio is believed to have died in Ariwara on 23 September 2008.
28. CDi.028  
Name: 1: JEAN-MARIE LUGERERO 2: RUNIGA 3: 4:  
Title: na Designation: M23, President DOB: a) Approximately 1960 b) 9 Sep. 1966 POB: Bukavu, Democratic Republic of the Congo Good quality a.k.a.: Jean-Marie Rugerero Low quality a.k.a.: na Nationality: na Passport no.: na National identification no.: na Address: Rubavu / Mudende, Rwanda Listed on: 31 Dec. 2012 (amended on 13 Oct. 2016) Other information: Entered the Republic of Rwanda on 16 March 2013.

As of 2016, residing in Rwanda. Participated in the creation of a new Congolese political party in June 2016, the Alliance pour le Salut du Peuple (ASP).

29. CDi.029

**Name:** 1: NTABO NTABERI 2: SHEKA 3: 4:

**Title:** na **Designation:** Commander-in-Chief, Nduma Defence of Congo, Mayi Mayi Sheka group **DOB:** 4 Apr. 1976 **POB:** Walikalele territory, DRC **Good quality a.k.a.:** na **Low quality a.k.a.:** na **Nationality:** Congolese **Passport no.:** na **National identification no.:** na **Address:** na **Listed on:** 28 Nov. 2011 **Other information:**

30. CDi.030

**Name:** 1: BOSCO 2: TAGANDA 3: 4:

**Title:** na **Designation:** na **DOB:** Between 1973 and 1974 **POB:** Bigogwe, Rwanda **Good quality a.k.a.:** a) Bosco Ntaganda b) Bosco Ntagenda c) General Taganda **Low quality a.k.a.:** a) Lydia (When he was part of APR.) b) Terminator c) Tango Romeo (Call sign) d) Romeo (Call sign) e) Major **Nationality:** Congolese **Passport no.:** na **National identification no.:** na **Address:** The Hague, Netherlands (as of June 2016) **Listed on:** 1 Nov. 2005 (amended on 13 Oct. 2016) **Other information:** Born in Rwanda, he moved to Nyamitaba, Masisi territory, North Kivu, when he was a child. Nominated FARDC Brigadier-General by Presidential Decree on 11 December 2004, following Ituri peace agreements. Formerly Chief of Staff in CNDP and became CNDP military commander since the arrest of Laurent Nkunda in January 2009. Since January 2009, de facto Deputy Commander of consecutive anti-FDLR operations 'Umoja Wetu', 'Kimia II', and 'Amani Leo' in North and South Kivu. Entered Rwanda in March 2013, and voluntarily surrender to ICC officials in Kigali on March 22. Transferred to the ICC in The Hague, Netherlands. On 9 June 2014, ICC confirmed 13 charges of war crimes and five charges of crimes against humanity against him; the trial started in September 2015.

31. CDi.031

**Name:** 1: INNOCENT 2: ZIMURINDA 3: 4:

**Title:** na **Designation:** a) M23, Bde Comd, Rank: Colonel b) Colonel in the FARDC **DOB:** a) 1 Sep. 1972 b) Approximately 1975 c) 16 Mar. 1972 **POB:** a) Ngungu, Masisi territory, North Kivu, DRC b) Masisi, DRC **Good quality a.k.a.:** na **Low quality a.k.a.:** Zimurinda **Nationality:** Congolese **Passport no.:** na **National identification no.:** na **Address:** Rubavu, Mudende **Listed on:** 1 Dec. 2010 **Other information:** Integrated in the FARDC in 2009 as a Lieutenant Colonel, brigade commander in FARDC Kimia II Ops, based in Ngungu area. In July 2009, Zimurinda was promoted to full Colonel and became FARDC Sector commander in Ngungu and subsequently in Kitchanga in FARDC Kimia II and Amani Leo Operations. Whereas Zimurinda did not appear in the 31 December 2010 DRC Presidential ordinance nominating high FARDC officers, Zimurinda de facto maintained his command position of FARDC 22nd sector in Kitchanga and wears the newly issued FARDC rank and uniform. In December 2010, recruitment activities carried out by elements under the command of Zimurinda were denounced in open source reports. Entered the Republic of Rwanda on 16 March 2013. As of late 2014, residing in Ngoma camp, Rwanda.

B. Unternehmen und Organisationen

1. CDi.001

**Name:** ADF

- A.k.a.: Allied Democratic Forces F.k.a.: a) Forces Démocratiques Alliées-Armée Nationale de Libération de l'Ouganda b) ADF/NALU c) NALU Address: North Kivu Province, Democratic Republic of the Congo Listed on: 30 Jun. 2014 (amended on 19 Oct. 2016) Other Information: ADF founder and leader, Jamil Mukulu (CDi.015), was arrested in Dar es Salaam, Tanzania in April 2015. He was subsequently extradited to Kampala, Uganda in July 2015. As of June 2016, Mukulu is reportedly being held in a police detention cell awaiting his trial.
2. CDe.002  
Name: BUTEMBO AIRLINES (BAL)  
A.k.a.: na F.k.a.: na Address: Butembo, DRC Listed on: 29 Mar. 2007 Other information: Privately-owned airline, operates out of Butembo. Since December 2008, BAL no longer holds an aircraft operating license in the DRC.
3. CDe.003  
Name: COMPAGNIE AERIENNE DES GRANDS LACS (CAGL); GREAT LAKES BUSINESS COMPANY (GLBC)  
A.k.a.: CAGL F.k.a.: na Address:a) Avenue Président Mobutu, Goma, DRC b) Gisenyi, Rwanda c) PO BOX 315, Goma, DRC Listed on: 29 Mar. 2007 Other information: As of December 2008, GLBC no longer had any operational aircraft, although several aircraft continued flying in 2008 despite UN sanctions.
4. CDe.004  
Name: CONGOMET TRADING HOUSE  
A.k.a.: na F.k.a.: na Address: Butembo, North Kivu Listed on: 29 Mar. 2007 Other information: No longer exists as a gold trading house in Butembo, North Kivu
5. CDe.005  
Name: FORCES DEMOCRATIQUES DE LIBERATION DU RWANDA (FDLR)  
A.k.a.:a) FDLR b) Force Combattante Abacunguzi c) Combatant Force for the Liberation of Rwanda d) FOCA F.k.a.: na Address:a) North Kivu, DRC b) South Kivu, DRC Listed on: 31 Dec. 2012 Other information: Email: Fdlr@fmx.de; fld-rsrse@yahoo.fr; fdlr@gmx.net; fdlrsrt@gmail.com; humura2020@gmail.com.
6. CDe.006  
Name: M23  
A.k.a.: Mouvement du 23 mars F.k.a.: na Address: Listed on: 31 Dec. 2012 Other information: Email: mouvementdu23mars1@gmail.com
7. CDe.007  
Name: MACHANGA LTD  
A.k.a.: na F.k.a.: na Address: Plot 55A, Upper Kololo Terrace, Kampala, Uganda Listed on: 29 Mar. 2007 Other information: Gold export company (Directors: Mr. Rajendra Kumar Vaya and Mr. Hirendra M. Vaya). In 2010, assets belonging to Machanga, held in the account of Emirates Gold, were frozen by Bank of Nova Scotia Mocatta (UK). The owners of Machanga have remained involved in purchasing gold from eastern DRC.
8. CDe.008  
Name: TOUS POUR LA PAIX ET LE DEVELOPPEMENT (NGO)  
A.k.a.: TPD F.k.a.: na Address: Goma, North Kivu, DRC Listed on: 1 Nov. 2005 Other information: Goma, with provincial committees in South Kivu, Kasai Occidental,

Kasai Oriental and Maniema Officially suspended all activities since 2008. In practice, as of June 2011 TPD offices are open and involved in cases related to returns of IDPs, community reconciliation initiatives, land conflict settlements, etc. The TPD President is Eugene Serufuli and Vice-President is Saverina Karomba. Important members include North Kivu provincial deputies Robert Seninga and Bertin Kirivita.

9. CDe.009

**Name:** UGANDA COMMERCIAL IMPEX (UCI) LTD

**A.k.a.:** na **F.k.a.:** na **Address:**a) Plot 22, Kanjokya Street, Kamwokya, Kampala, Uganda (Tel.: +256 41 533 578/9) b) PO BOX 22709, Kampala, Uganda **Listed on:** 29 Mar. 2007 **Other information:** Gold export company. (Directors Mr. Jamnadas V. LODHIA - known as "Chuni" - and his sons Mr. Kunal LODHIA and Jitendra J. LODHIA). In January 2011, Ugandan authorities notified the Committee that following an exemption on its financial holdings, Emirates Gold repaid UCI's debt to Crane Bank in Kampala, leading to final closure of its accounts. The directors of UCI have remained involved in purchasing gold from eastern DRC.

Anhang 2<sup>20</sup>

(Art. 2 Abs. 1 und Art. 4 Abs. 1)

## Natürliche Personen, Unternehmen und Organisationen, gegen die sich die Massnahmen nach Art. 2 und 4 richten (EU-Liste)

### A. Natürliche Personen

|    | Name                    | Angaben zur Identität                                                                                                                                              | Gründe                                                                                                                                                                                                                                                                                                                                                                                |
|----|-------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. | Ilunga Kampete          | a.k.a. Gaston Hughes Ilunga Kampete; a.k.a. Hugues Raston Ilunga Kampete.<br>Born 24.11.1964 in Lubumbashi, military ID number: 1-64-86-22311-29. DRC nationality. | As Commander of the Republican Guard (GR), Ilunga Kampete was responsible for the GR units deployed on the ground and involved in the disproportionate use of force and violent repression, in September 2016 in Kinshasa. In this capacity, Ilunga Kampete was therefore involved in planning, directing, or committing acts that constitute serious human rights violations in DRC. |
| 2. | Gabriel Amisi Kumba     | a.k.a. Gabriel Amisi Nkumba; a.k.a. 'Tango Fort'; a.k.a. 'Tango Four'.<br>Born 28.5.1964 in Malela, military ID number: 1-64-87-77512-30. DRC nationality.         | Commander of the 1st defence zone of Congolese Army (FARDC) whose forces took part in the disproportionate use of force and violent repression, in September 2016 in Kinshasa. In this capacity, Gabriel Amisi Kumba was therefore involved in planning, directing, or committing acts that constitute serious human rights violations in DRC.                                        |
| 3. | Ferdinand Ilunga Luyoyo | Born 8.3.1973 in Lubumbashi.<br>Passport number: OB0260335 (valid from 15.4.2011 till 14.4.2016). DRC nationality.                                                 | As Commander of the anti-riot body Légion Nationale d'Intervention of the Congolese National Police (PNC), Ferdinand Ilunga Luyoyo was responsible for disproportionate use of force and violent repression in September 2016                                                                                                                                                         |

|    |                  |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                     |
|----|------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|    |                  |                                                                                                                                                                                                                                                                                                                      | in Kinshasa. In this capacity, Ferdinand Ilunga Luyoyo was therefore involved in planning, directing, or committing acts that constitute serious human rights violations in DRC.                                                                                                                                                                                    |
| 4. | Celestin Kanyama | a.k.a. Kanyama Tshisiku Celestin; a.k.a. Kanyama Celestin Cishiku Antoine, a.k.a. Kanyama Cishiku Bilolo Célestin, a.k.a. Esprit de mort.<br>Born 4.10.1960 in Kananga. DRC nationality. Passport number: OB0637580 (valid from 20.5.2014 to 19.5.2019). Was granted Schengen visa No 011518403, issued on 2.7.2016. | As Kinshasa Police Commissioner (PNC), Celestin Kanyama was responsible for the disproportionate use of force and violent repression in September 2016 in Kinshasa. In this capacity, Celestin Kanyama was therefore involved in planning, directing, or committing acts that constitute serious human rights violations in DRC.                                    |
| 5. | John Numbi       | a.k.a. John Numbi Banza Tambo; a.k.a. John Numbi Banza Ntambo; a.k.a. Tambo Numbi.<br>Born 16.8.1962 in Jadotville-Likasi-Kolwezi. DRC nationality.                                                                                                                                                                  | Former Inspector-General of the Congolese National Police (PNC), John Numbi remains an influential figure who was notably involved in the campaign of violent intimidation in the March 2016 gubernatorial elections in the DRC's four ex-Katanga provinces and as such is responsible for obstructing a consensual and peaceful solution towards elections in DRC. |
| 6. | Roger Kibelisa   | a.k.a. Roger Kibelisa Ngambaswi.<br>DRC nationality.                                                                                                                                                                                                                                                                 | As Interior Director of the National Intelligence Service (ANR), Roger Kibelisa is involved in the intimidation campaign carried out by ANR officials towards opposition members, including arbitrary arrests and detention. Roger Kibelisa has therefore undermined the rule of law and obstructed a consensual and peaceful solution towards elections in DRC.    |

|    |                                                                                   |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|----|-----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 7. | Delphin Kaimbi                                                                    | <p>a.k.a. Delphin Kahimbi Kasagwe; a.k.a. Delphin Kayimbi Demba Kasangwe; a.k.a. Delphin Kahimbi Kasangwe; a.k.a. Delphin Kahimbi Demba Kasangwe; a.k.a. Delphin Kasagwe Kahimbi.</p> <p>Born 15.1.1969 (alternatively: 15.7.1969) in Kinie-zire/Goma. DRC nationality. Diplomatic passport No: DB0006669 (valid 13.11.2013 till 12.11.2018).</p> | <p>Head of Military Intelligence Body (ex-DEMIAP), part of the National Operations Centre, the command and control structure responsible for arbitrary arrests and violent repression in Kinshasa in September 2016, and responsible for forces that participated in intimidation and arbitrary arrests, which obstructs a consensual and peaceful solution towards elections in DRC.</p>                                                                                                                                                                                                                                                                                                                                       |
| 8. | Evariste Boshab, former Vice Prime Minister and Minister of Interior and Security | <p>a.k.a Evariste Boshab Mabub Ma Bileng.</p> <p>DOB: 12.1.1956. POB: Tete Kalamba (DRC). Diplomatic passport number: DP 0000003 (valid: 21.12.2015 - expiry: 20.12.2020). Schengen visa expired on 5.1.2017. DRC nationality.</p>                                                                                                                | <p>In his capacity as Vice Prime Minister and Minister of Interior and Security from December 2014 to December 2016, Evariste Boshab was officially responsible for the police and security services and coordinating the work of provincial governors. In this capacity, he was responsible for arrests of activists and opposition members, as well as disproportionate use of force, including between September 2016 and December 2016 in response to demonstrations in Kinshasa, which resulted in a large number of civilians being killed or injured by security services. Evariste Boshab was therefore involved in planning, directing, or committing acts that constitute serious human rights violations in DRC.</p> |
| 9. | Gédéon Kyungu Mutanga                                                             | <p>DOB: circa 1974 in Tanganyika province. DRC nationality.</p>                                                                                                                                                                                                                                                                                   | <p>As he was the leader of the Bakata Katanga militia between 2011 and 2016, Gédéon Kyungu Mutanga was involved in serious human rights violations and war crimes such as killings and attacks against civilians, notably in rural zones of the Katanga region.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                             |

|     |                                                              |                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|-----|--------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|     |                                                              |                                                                                                                                                                                                                                            | Gédéon Kyungu Mutanga is currently the leader of an armed group involved in human rights abuses in the Kasai Province and supporting governmental forces committing human rights violations. Gédéon Kyungu Mutanga has therefore been involved in planning, directing, or committing acts that constitute serious human rights abuses or violations in DRC.                                                                                                                                                             |
| 10. | Alex Kande Mupompa, Governor of Kasai Central                | a.k.a Alexandre Kande Mupomba; Kande-Mupompa. DOB: 23.9.1950. POB: Kananga (DRC). DRC passport number: OP 0024910 (valid: 21.3.2016 - expiry: 20.3.2021). Address: Mesidorlaan 217/25, 1180 Uccle, Belgium. DRC and Belgian nationalities. | As Governor of Kasai Central, Alex Kande Mupompa is responsible for the ongoing disproportionate use of force, violent repression and extrajudicial killings committed by security forces and the PNC in Kasai Central from 2016, including the alleged unlawful killings of Kamiuna Nsapu militia members and civilians in Mwanza Lomba, Kasai Central, in February 2017. Alex Kande Mupompa was therefore involved in planning, directing, or committing acts that constitute serious human rights violations in DRC. |
| 11. | Jean-Claude Kazembe Musonda, former Governor of Haut-Katanga | DOB: 17.5.1963. POB: Kashobwe (DRC). DRC nationality.                                                                                                                                                                                      | As Governor of Haut Katanga until April 2017, Jean-Claude Kazembe Musonda was responsible for the disproportionate use of force and violent repression committed by security forces and the PNC in Haut Katanga, including between 15 and 31 December 2016, when 12 civilians were killed and 64 were injured as a result of the use of lethal force by security forces including PNC agents in response to protests in Lubumbashi. Jean-Claude Kazembe Musonda was therefore involved in planning, direc-              |

|     |                                                                                         |                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|-----|-----------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|     |                                                                                         |                                                                                                                                                                   | ting, or committing acts that constitute serious human rights violations in DRC.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 12. | Lambert Mende, Minister of Communications and Media, and spokesperson of the Government | a.k.a Lambert Mende Omalanga. DOB: 11.2.1953. POB: Okolo (DRC). Diplomatic passport number: DB0001939 (issued: 4.5.2017 - expiring: 3.5.2022). DRC nationality.   | <p>As Communications and Media Minister since 2008, Lambert Mende is responsible for the repressive media policy applied in DRC, which breaches the right to freedom of expression and information and undermines a consensual and peaceful solution towards elections in DRC. On 12 November 2016, he adopted a decree limiting the possibility for foreign media outlets to broadcast in the DRC.</p> <p>In breach of the political agreement settled on 31 December 2016 between the presidential majority and opposition parties, broadcasts have not resumed for a number of media outlets as at May 2017.</p> <p>In his capacity as Communications and Media Minister, Lambert Mende is therefore responsible for obstructing a consensual and peaceful solution towards elections in DRC, including by acts of violence, repression or inciting violence, or by undermining the rule of law.</p> |
| 13. | Muhindo Akili Mundos, FARDC Commander 31st Brigade                                      | a.k.a Charles Muhindo Akili Mundos; Charles Muhindo Akilimani Mundos. DOB: 10.11.1972. POB: Kirotsa (DRC). Military ID number: 1-72-96-80384-52. DRC nationality. | <p>Muhindo Akili Mundos was the FARDC commander of the Sukola I operation responsible for military operations against the ADF from August 2014 to June 2015. He recruited and equipped former fighters from a local armed group to participate in extrajudicial killings and massacres that began in October 2014.</p> <p>Muhindo Akili Mundos was therefore involved in planning, directing, or committing acts</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |

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|     |                                                                                               |                                                                                                                                                   | that constitute serious human rights violations in DRC.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 14. | Brigadier General Eric Ruhorimbere, Deputy Commander of the 21st military region (Mbuji-Mayi) | a.k.a Eric Ruhorimbere Ruhanga;Tango Two; Tango Deux. DOB: 16.7.1969. POB: Minembwe (DRC). Military ID number: 1-69-09-51400-64. DRC nationality. | As Deputy Commander of the 21st military region since 18 September 2014, Eric Ruhorimbere was responsible for the disproportionate use of force and extrajudicial killings perpetrated by FARDC forces, notably against the Nsapu militia and women and children.<br><br>Eric Ruhorimbere was therefore involved in planning, directing, or committing acts that constitute serious human rights violations in DRC.                                                                                                                                                                                                                                                                                                                                                                                    |
| 15. | Ramazani Shadari, Vice Prime Minister and Minister of Interior and Security                   | a.k.a Emmanuel Ramazani Shadari Mulanda; Shadary. DOB: 29.11.1960. POB: Kasongo (DRC). DRC nationality.                                           | As Vice Prime Minister and Minister of Interior and Security since 20 December 2016, Ramazani Shadari is officially responsible for the police and security services and coordinating the work of provincial governors. In this capacity, he is responsible for the recent arrests of activists and opposition members, as well as the disproportionate use of force since his appointment, such as the violent crackdown on members of the Bundu Dia Kongo (BDK) movement in Kongo Central, the repression in Kinshasa over January-February 2017 and the disproportionate use of force and violent repression in Kasai provinces.<br><br>In this capacity, Ramazani Shadari is therefore involved in planning, directing, or committing acts that constitute serious human rights violations in DRC. |
| 16. | Kalev Mutondo, Head (formally Administrator-                                                  | a.k.a Kalev Katanga Mutondo, Kalev Motono, Kalev Mutundo, Kalev                                                                                   | As long-term Head of the National Intelligence Service (ANR), Kalev Mutondo is                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |

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|  | General) of the<br>National Intelligence<br>Service (ANR) | Mutoid, Kalev Mutombo,<br>Kalev Mutond, Kalev<br>Mutondo Katanga, Kalev<br>Mutund. DOB: 3.3.1957.<br>Passport number:<br>DB0004470 (issued:<br>8.6.2012 - expires:<br>7.6.2017). | involved in and responsible for<br>the arbitrary arrest, detention<br>and mistreatment of opposi-<br>tion members, civil society<br>activists and others. He has<br>therefore undermined the rule<br>of law and obstructed a con-<br>sensual and peaceful solution<br>towards elections in DRC, as<br>well as planning or directing<br>acts that constitute serious<br>human rights violations in<br>DRC. |
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## B. Unternehmen und Organisationen

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- 1 Der Text dieser Resolutionen ist unter [www.un.org/en/sc/documents/resolutions](http://www.un.org/en/sc/documents/resolutions) in englischer Sprache abrufbar.
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- 2 Ingress abgeändert durch [LGBL. 2016 Nr. 482](#).
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- 3 Überschrift vor Art. 1 abgeändert durch [LGBL. 2009 Nr. 81](#).
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- 4 Art. 1 Abs. 3 abgeändert durch [LGBL. 2008 Nr. 178](#).
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- 5 Art. 1 Abs. 3a abgeändert durch [LGBL. 2009 Nr. 81](#).
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- 6 Art. 2 Abs. 1 abgeändert durch [LGBL. 2016 Nr. 482](#).
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- 7 Art. 2 Abs. 3 abgeändert durch [LGBL. 2016 Nr. 482](#).
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- 8 Art. 2 Abs. 4 eingefügt durch [LGBL. 2016 Nr. 482](#).
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- 9 Art. 2 Abs. 5 eingefügt durch [LGBL. 2016 Nr. 482](#).
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- 10 Art. 3 Bst. b abgeändert durch [LGBL. 2009 Nr. 81](#).
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- 11 Art. 4 abgeändert durch [LGBL. 2016 Nr. 482](#).
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- 12 Art. 5 Abs. 1 abgeändert durch [LGBL. 2009 Nr. 81](#).
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- 13 Art. 5 Abs. 2 abgeändert durch [LGBL. 2009 Nr. 81](#).
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- 14 Art. 5 Abs. 3 abgeändert durch [LGBL. 2009 Nr. 81](#).
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- 15 Art. 6 Abs. 1 abgeändert durch [LGBL. 2009 Nr. 81](#).
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- 16 Art. 7 aufgehoben durch [LGBL. 2009 Nr. 81](#).
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- 17 Art. 8 aufgehoben durch [LGBL. 2009 Nr. 81](#).
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- 18 Art. 9 abgeändert durch [LGBL. 2009 Nr. 81](#).
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- 19 Anhang abgeändert durch [LGBL. 2015 Nr. 55](#), [LGBL. 2016 Nr. 326](#), [LGBL. 2016 Nr. 335](#) und [LGBL. 2016 Nr. 482](#).
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- 20 Anhang 2 eingefügt durch [LGBL. 2016 Nr. 482](#) und abgeändert durch [LGBL. 2017 Nr. 139](#).
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